

# PAPERWORK REDUCTION ACT SUBMISSION

Please read the instructions before completing this form. For additional forms or assistance in completing this form, contact your agency's Paperwork Clearance Officer. Send two copies of this form, the collection instrument to be reviewed, the Supporting Statement and any additional documentation to: **Office of Information and Regulatory Affairs, Office of Management and Budget, Docket Library, Room 10102, 725 17th Street NW Washington, DC 20503.**

1. Agency/Subagency originating request  
EPA\Office of Solid Waste and Emergency Response\Office of Solid Waste

2. OMB control number                      b. **G** None  
a 2050-0145

3. Type of information collection (*check one*)  
a. **G** New collection  
b. **G** Revision of a currently approved collection  
c. **X** Extension of a currently approved collection  
d. **G** Reinstatement, **without change**, of a previously approved collection for which approval has expired  
e. **G** Reinstatement, **with change**, of a previously approved collection for which approval has expired  
f. **G** Existing collection in use without an OMB control number

4. Type of review requested (*check one*)  
a. **X** Regular  
b. **G** Emergency - Approval requested by: \_\_\_\_/\_\_\_\_/\_\_\_\_  
c. **G** Delegated

5. Small entities  
Will this information collection have a significant economic impact on a substantial number of small entities?    **G** Yes    **X** No

6. Requested expiration date  
a. **X** Three years from approval date    b. **G** Other Specify: \_\_\_\_/\_\_\_\_/\_\_\_\_

*For b-f, note item A2 of Supporting Statement Instructions*

7. Title  
Reporting and Recording Requirements for the Universal Waste Handlers and Destination Facilities

8. Agency form number(s) (*If applicable*)  
ICR#: 1597.04

9. Keywords  
universal waste, large quantity handler of universal waste, small quantity handler of universal waste, batteries, pesticides, thermostats, lamps, flourescent lights

10. Abstract: Universal wastes are hazardous wastes with streamlines handling requirements. The federal universal wastes are batteries, pesticides, thermostats, lamps (flourescent lights). This information collection targets the collection of information for the following reporting or recordkeeping requirements: notification, labeling and marking, storage time limitations, off-site shipments, tracking of universal waste shipments, and petitions to include other waste categories at the federal level. It is necessary for EPA to collect universal waste information to ensure that universal waste is collected and managed in a manner that is protective of human health and the environment.

11. Affected public (*Mark primary with "P" and all others that apply with "X"*)  
a.    Individuals or households    d. **X** Farms  
b. **P** Business or other for-profit    e. **X** Federal Government  
c. **X** Not-for-profit institutions    f. **X** State, Local or Tribal Government

12. Obligation to respond (*Mark primary with "P" and all others that apply with "X"*)  
a. **G** Voluntary  
b. **G** Required to obtain or retain benefits  
c. **P** Mandatory

13. Annual reporting and recordkeeping hour burden  
a. Number of respondents    129,839  
b. Total annual responses    133,181  
    1. Percentage of these responses  
        collected electronically    0 %  
c. Total hours requested    218,168  
d. Current OMB inventory    122,674  
e. Difference    95,494  
f. Explanation of difference  
    1. Program Change    0  
    2. Adjustment    95,494

14. Annual reporting and recordkeeping cost burden (*in thousands of dollars*)  
a. Total annualized capital/startup costs    \$2  
b. Total annual costs (O&M)    \$1  
c. Total annualized cost requested    \$3  
d. Current OMB inventory    \$3  
e. Difference    \$0  
f. Explanation of difference  
    1. Program change    \$0  
    2. Adjustment    \$0

<p>15. Purpose of information collection (<i>Mark Primary With "P" and all others that apply with "X"</i>)</p> <p>a. <input type="checkbox"/> Application for benefits    e. <input checked="" type="checkbox"/> Program planning or management</p> <p>b. <input checked="" type="checkbox"/> Program evaluation    f. <input type="checkbox"/> Research</p> <p>c. <input type="checkbox"/> General purpose statistics    g. <input checked="" type="checkbox"/> Regulatory or compliance</p> <p>d. <input type="checkbox"/> Audit</p>	<p>16. Frequency of recordkeeping or reporting (<i>check all that apply</i>)</p> <p>a. <input checked="" type="checkbox"/> Recordkeeping    b. <input checked="" type="checkbox"/> Third party disclosure</p> <p>c. <input checked="" type="checkbox"/> Reporting</p> <p>1. <input checked="" type="checkbox"/> On occasion    2. <input checked="" type="checkbox"/> Weekly    3. <input checked="" type="checkbox"/> Monthly</p> <p>4. <input checked="" type="checkbox"/> Quarterly    5. <input checked="" type="checkbox"/> Semi-annually    6. <input checked="" type="checkbox"/> Annually</p> <p>7. <input checked="" type="checkbox"/> Biannually    8. <input checked="" type="checkbox"/> Other (describe) _____</p>
<p>17. Statistical methods</p> <p>Does this information collection employ statistical methods?</p> <p style="text-align: center;"><input checked="" type="checkbox"/> Yes    <input checked="" type="checkbox"/> No</p>	<p>18. Agency contact (<i>person who can best answer questions regarding the content of this submission</i>)</p> <p>Name: <u>Tab Tesnau</u></p> <p>Phone: <u>(703) 605-0636</u></p>

## 19. Certification for Paperwork Reduction Act Submissions

On behalf of this Federal agency, I certify that the collection of information encompassed by this request complies with 5 CFR 1320.9.

**NOTE:** The text of 5 CFR 1320.9, and the related provisions of 5 CFR 1320.8(b)(3), appear at the end of the instructions. *The certification is to be made with reference to those regulatory provisions as set forth in the instructions.*

The following is a summary of the topics, regarding the proposed collection of information, that the certification covers:

- (a) It is necessary for the proper performance of agency functions;
- (b) It avoids unnecessary duplication;
- (c) It reduces burden on small entities;
- (d) It uses plain, coherent, and unambiguous terminology that is understandable to respondents;
- (e) Its implementation will be consistent and compatible with current reporting and recordkeeping practices;
- (f) It indicates the retention periods for recordkeeping requirements;
- (g) It informs respondents of the information called for under 5 CFR 1320.8(b)(3):
  - (I) Why the information is being collected;
  - (ii) Use of information;
  - (iii) Burden estimate;
  - (iv) Nature of response (voluntary, required for a benefit, or mandatory);
  - (v) Nature and extent of confidentiality; and
  - (vi) Need to display currently valid OMB control number;
- (h) It was developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected (see note in Item 19 of the instructions);
- (I) It uses effective and efficient statistical survey methodology; and
- (j) It makes appropriate use of information technology.

If you are unable to certify compliance with any of these provisions, identify the item below and explain the reason in Item 18 of the Supporting Statement.

Signature of Program Official

Date

Signature of Senior Official or designee

**Oscar Morales, Director  
Collection Strategies Division  
Office of Environmental Information**

Date



## Certification Requirement for Paperwork Reduction Act Submissions

5 CFR 1320.9 reads “As part of the agency submission to OMB of a proposed collection of information, the agency (through the head of the agency, the Senior Official or their designee) shall certify (and provide a record supporting such certification) that the proposed collection of information --

“(a) is necessary for the proper performance of the functions of the agency, including that the information to be collected will have practical utility;

“(b) is not unnecessarily duplicative of information otherwise reasonably accessible to the agency;

“(c) reduces to the extent practicable and appropriate the burden on persons who shall provide information to or for the agency, including with respect to small entities, as defined in the Regulatory Flexibility Act 5 U.S.C § 601(6)), the use of such techniques as:

“(1) establishing differing compliance or reporting requirements or timetables that take into account the resources available to those who are to respond;

“(2) the clarification, consolidation, or simplification of compliance and reporting requirements; or collection of information , or any part thereof;

“(3) an exemption from coverage of the collection of information, or any part thereof;

“(d) is written using plain, coherent, and unambiguous terminology and is understandable to those who are to respond;

“(e) is to be implemented in ways consistent and compatible, to the maximum extent practicable, with the existing reporting and recordkeeping practices of those who are to respond;

“(f) indicates for each recordkeeping requirement the length of time persons are required to maintain the records specified;

“(g) informs potential respondents of the information called for under § 1320.8(b)(3); [see below]

“(h) has been developed by an office that has planned and allocated resources for the efficient and effective management and use of the information to be collected, including the processing of the information in a manner which shall enhance, where appropriate, the utility of the information to agencies and the public;

“(I) uses effective and efficient statistical survey methodology appropriate to the purpose for which the information is to be collected; and

“(j) to the maximum extent practicable, uses appropriate information technology to reduce burden and improve data quality, agency efficiency and responsiveness to the public.”

**NOTE:** 5 CFR 1320.8(b)(3) requires that each collection of information:

“(3) informs and provides reasonable notice to the potential persons to whom the collection of information is addressed of:

“(I) the reasons the information is planned to be and/or has been used to further the proper performance of the functions of the agency;

“(ii) the way such information is planned to be and/or has been used to further the proper performance of the functions of the agency;

“(iii) an estimate, to the extent practicable, of the average burden of the collection (together with a request that the public direct to the agency any comments concerning the accuracy of this burden estimate and any suggestions for reducing this burden);

“(iv) whether responses to the collection of information are voluntary, required to obtain or retain a benefit (citing authority), or mandatory (citing authority);

“(v) the nature and extent of confidentiality to be provided, if any (citing authority); and

“(vi) the fact that any agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.”